

FAIR POLITICAL PRACTICES COMMISSION

STIPULATION, DECISION AND ORDER
Campaign Statement/Report Late Filer Violations
(Streamline Program)

Complainant, the Enforcement Division of the Fair Political Practices Commission, and Respondent(s) hereby agree that this stipulation will be included on the next regularly scheduled meeting, or as soon thereafter as the matter can be heard, of the Fair Political Practices Commission. Once presented and approved by the Chief of Enforcement, the parties agree that this stipulation will be the final disposition of the violation(s) described herein.

FPPC CASE NUMBER: 2020-00990

RESPONDENT(S): Richmond District Democratic Club (the “RDDC SMO”), Richmond District Democratic Club (the “RDDC PAC”), Christine Randolph (treasurer), and Margaret Cooley (treasurer).

REPRESENTATION: Not applicable

GOVERNMENT CODE SECTION(S) VIOLATED: RDDC SMO: 84218 and 84220; RDDC PCA: 84200 and 84200.5.

Total Penalty: \$268

STATEMENT BY RESPONDENT(S):

I acknowledge that the violation(s) of the Political Reform Act described above have occurred and voluntarily request that the Fair Political Practices Commission resolve this matter by imposition of the monetary penalty specified above. I acknowledge receipt of the *Statement of Respondent's Rights* and voluntarily waive any and all procedural rights to contest this matter in an administrative hearing. I have paid the amount of the penalty described above. I understand that I must also pay any potential late filing penalties assessed by my filing officer.

Dated: _____

Sign: _____

Print Name: _____

Dated: _____

Sign: _____

Print Name: _____

ORDER OF THE COMMISSION:

I have reviewed this stipulation, taken into consideration any comments made regarding this item, and am executing this agreement under the authority of the Fair Political Practices Commission granted to me by Regulation 18360.3. This agreement is effective upon execution below.

IT IS SO ORDERED.

Dated: _____

ANGELA J. BRERETON,
ASSISTANT ENFORCEMENT CHIEF

FAIR POLITICAL PRACTICES COMMISSION
STATEMENT OF RESPONDENTS' RIGHTS

The Complainant, the Enforcement Division of the Fair Political Practices Commission, and Respondents, both identified by name on the front of this document, hereby agree that this Stipulation, Decision and Order will be submitted for notice at the next regularly scheduled Fair Political Practices Commission meeting, or as soon as the matter can be heard.

The parties agree to enter into this Stipulation to resolve all factual and legal issues raised in this matter and to reach a final disposition without the necessity of holding an administrative hearing to determine the liability of Respondents.

Respondents understand and hereby knowingly and voluntarily waive any and all procedural rights under California Government Code sections 83115.5, 11500, *et seq.*, and Title 2 of the California Code of Regulations sections 18361.4 through 18361.11, including but not limited to the issuance and receipt of an accusation, and the right to appear personally and be represented by counsel at his or her own expense in any administrative hearing held in this matter, to confront and cross examine all witnesses testifying at the hearing, to subpoena witnesses to testify at the hearing, and to have an impartial administrative law judge present at the hearing to act as a hearing officer.

It is further stipulated and agreed that Respondents have violated the Political Reform Act as described herein.

Respondents agree to the issuance of the Decision and Order and imposition by the Commission through the Chief of Enforcement of a penalty in the amount specified on the Stipulation, and a payment in full has been submitted by Respondents to be held by the State of California until the issuance of the Decision and Order. This will be the final disposition of the violation(s) described herein.

The parties agree that in the event the Chief of Enforcement refuses to accept this Stipulation, it shall become null and void, and within fifteen (15) business days after the Commission meeting at which this Stipulation is presented, payments tendered shall be reimbursed to Respondents. Respondents further stipulate and agree that in the event the Chief of Enforcement rejects the Stipulation and a full evidentiary hearing before the Commission becomes necessary, neither a member of the Commission, nor the Executive Director, shall be disqualified because of prior consideration of this Stipulation.

As to Richmond District Democratic Club (the “RDDC PAC”), and Margaret Cooley (treasurer).

DESCRIPTION OF VIOLATION:

Campaign Statement/Report Late Filer- Tier One and Warning Letter

Tier	Count	Statement/Report	Reporting Period	Due Date	Date Filed	Describe Reportable Activity	Penalty¹
T1	1	Preelection	7/1/20-10/17/20	10/22/2020	12/2/2020	\$6,828	\$268
WL	2	Semiannual	1/1/21-6/30/21	8/1/2021	10/7/2022	\$1,040	\$0
WL	3	Semiannual	7/1/21 - 12/31/21	1/31/2022	10/7/2022	\$368	\$0
WL	4	Semiannual	1/1/22-6/30/22	8/1/2022	10/7/2022	\$460	\$0

TOTAL PENALTY: \$268

This matter is eligible for a streamline resolution under the criteria provided in Regulation 18360.1.

Filer is a Local General Purpose Committee.

¹ The penalty for failing to timely file a campaign statement or report under Tier One is \$200-\$600 plus 1% of contributions received or expenditures made, whichever is greater per late statement or report. The penalty under Tier Two is \$800-\$1,200 plus 2% of contributions received or expenditures made, whichever is greater, per late statement or report.

The base penalty can vary in \$100 increments based on the extent and the gravity of the public harm caused by the specific violation, the level of experience the respondents have with the Political Reform Act, the level of diligence to come into compliance, and the level of cooperation during investigation and in reaching settlement.

Violations that qualify for a Warning Letter (“WL”) are considered prior history for purposes of streamline eligibility for future violations.

The Enforcement Chief has the discretion to include violations in Tier One (“T1D”) and Tier Two (“T2D”) and exclude violations from receiving a penalty (“WLD”).

As to Richmond District Democratic Club (the “RDDC SMO”), Christine Randolph (treasurer), and Margaret Cooley (treasurer).

DESCRIPTION OF VIOLATION:

Campaign Statement/Report Late Filer- Warning Letter

Tier	Count	Statement/Report	Reporting Period	Due Date	Date Filed	Describe Reportable Activity	Penalty ²
WL	1	Semiannual	1/1/20 - 6/30/20	7/31/2020	2/24/2025	\$0	\$0
WL	2	Semiannual	7/1/20 - 12/31/20	2/1/2021	2/24/2025	\$0	\$0
WL	3	Semiannual	1/1/21-6/30/21	8/1/2021	2/24/2025	\$0	\$0
WL	4	Semiannual	7/1/21-12/31/21	1/31/2022	2/24/2025	\$0	\$0
WL	5	Semiannual	1/1/22- 6/30/22	8/1/2022	2/24/2025	\$0	\$0
WL	6	24-Hour Late Payment Report (Form 498)	10/20/2022	10/21/2022	Not filed	\$8,000	\$0
WL	7	Semiannual	1/1/23-6/30/23	7/31/2023	2/24/2025	\$0	\$0
WL	8	Semiannual	7/1/23 - 12/31/23	1/31/2024	2/24/2025	\$0	\$0
WL	9	24-Hour Late Payment Report (Form 498)	8/24/2024	8/25/2024	Not filed	\$5,000	\$0
WL	10	24-Hour Late Payment Report (Form 498)	10/2/2024	10/3/2024	Not filed	\$12,500	\$0
WL	11	Semiannual	10/20/24-12/31/24	1/31/2025	2/24/2025	\$0	\$0

TOTAL PENALTY: \$0

This matter is eligible for a streamline resolution under the criteria provided in Regulation 18360.1.

Filer is a Slate Mailer Organization.

² The penalty for failing to timely file a campaign statement or report under Tier One is \$200-\$600 plus 1% of contributions received or expenditures made, whichever is greater per late statement or report. The penalty under Tier Two is \$800-\$1,200 plus 2% of contributions received or expenditures made, whichever is greater, per late statement or report.

The base penalty can vary in \$100 increments based on the extent and the gravity of the public harm caused by the specific violation, the level of experience the respondents have with the Political Reform Act, the level of diligence to come into compliance, and the level of cooperation during investigation and in reaching settlement.

Violations that qualify for a Warning Letter (“WL”) are considered prior history for purposes of streamline eligibility for future violations.

The Enforcement Chief has the discretion to include violations in Tier One (“T1D”) and Tier Two (“T2D”) and exclude violations from receiving a penalty (“WLD”).