

Form 700 Filers Statement of Economic Interests **Schedule A-1: Investments**



PRESENTED BY
EXTERNAL AFFAIRS AND EDUCATION DIVISION
FAIR POLITICAL PRACTICES COMMISSION

Finding the Form 700

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File a Form 700

Statement of Economic Interests



Form 700

A Public Document

Also available on the FPPC website:

- ***Form 700 in Excel format***
- *Reference Pamphlet for Form 700*

California Fair Political Practices Commission

Email Advice: advice@fppc.ca.gov

Toll-free advice line: 1 (866) ASK-FPPC • 1 (866) 275-3772

Telephone: (916)322-5660 • Website: www.fppc.ca.gov

Use Schedule A-1...

- if you, your spouse, or dependent children have direct or indirect financial interest in a business, and
- if the business is reportable per your agency's conflict of interest code, and
- if the business is located in or doing business in your jurisdiction, and
- if your investment in the business reached a value of \$2,000 or more during the reporting period.

SCHEDULE A-1 Investments Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements.		CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name PAT CLARK
NAME OF BUSINESS ENTITY Utel GENERAL DESCRIPTION OF THIS BUSINESS Technology FAIR MARKET VALUE ☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000 ☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000 NATURE OF INVESTMENT ☒ Stock ☐ Other _____ (Describe) ☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: 10/03 XX _____ XX ACQUIRED DISPOSED	NAME OF BUSINESS ENTITY Apple GENERAL DESCRIPTION OF THIS BUSINESS Technology FAIR MARKET VALUE ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000 ☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000 NATURE OF INVESTMENT ☒ Stock ☐ Other _____ (Describe) ☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: _____ XX _____ XX ACQUIRED DISPOSED	
NAME OF BUSINESS ENTITY Intel GENERAL DESCRIPTION OF THIS BUSINESS Software FAIR MARKET VALUE ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000 ☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000 NATURE OF INVESTMENT ☒ Stock ☐ Other _____ (Describe) ☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: _____ XX _____ XX ACQUIRED DISPOSED	NAME OF BUSINESS ENTITY Horton Inc. GENERAL DESCRIPTION OF THIS BUSINESS FAIR MARKET VALUE ☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000 ☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000 NATURE OF INVESTMENT ☒ Stock ☐ Other _____ (Describe) ☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: _____ XX _____ XX ACQUIRED DISPOSED	
NAME OF BUSINESS ENTITY Johnson & Johnson GENERAL DESCRIPTION OF THIS BUSINESS Pharmaceuticals FAIR MARKET VALUE ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000 ☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000 NATURE OF INVESTMENT ☒ Stock ☐ Other _____ (Describe) ☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: _____ XX _____ XX ACQUIRED DISPOSED	NAME OF BUSINESS ENTITY GENERAL DESCRIPTION OF THIS BUSINESS FAIR MARKET VALUE ☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000 ☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000 NATURE OF INVESTMENT ☐ Stock ☐ Other _____ (Describe) ☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: _____ XX _____ XX ACQUIRED DISPOSED	

Schedule A-1

Reportable Interests

- Stocks
- Non-governmental bonds
- Partnerships
- Ownership interests of less than 10%
- Certain types of assets in retirement accounts

Schedule A-1

Non-Reportable Interests

- Diversified mutual funds
- Insurance policies and annuities
- Shares in a credit union
- Money in a checking or savings account
- Government bonds
- CalPERS/CalSTRS accounts
- Retirement accounts invested in non-reportable interests
- Stock dividends and income from the sale of stock (unless the source can be identified)

Completing A-1

- Enter the name of the business entity.
- Give a brief, general description of the business.

► NAME OF BUSINESS ENTITY

Utel

GENERAL DESCRIPTION OF THIS BUSINESS

Technology

FAIR MARKET VALUE

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☒ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

☐ Partnership

☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

10 / 03 / XX

ACQUIRED

____ / ____ / XX

DISPOSED

Completing A-1

- Check the box indicating the highest fair market value of your investment during the reporting period.
- Identify the nature of your investment.

► NAME OF BUSINESS ENTITY

Utel

GENERAL DESCRIPTION OF THIS BUSINESS

Technology

FAIR MARKET VALUE

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☒ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

☐ Partnership

☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

10 / 03 / XX

ACQUIRED

____ / ____ / XX

DISPOSED

Completing A-1

- Enter the dates only if you acquired the investment anew, or sold all interest in the investment during the reporting period.

► NAME OF BUSINESS ENTITY

Utel

GENERAL DESCRIPTION OF THIS BUSINESS

Technology

FAIR MARKET VALUE

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☒ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

☐ Partnership

☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

10 / 03 / XX

ACQUIRED

____ / ____ / XX

DISPOSED

Schedule A-1

Excel Format

SCHEDULE A-1				700	
Investments				CALIFORNIA FORM	
Stocks, Bonds, and Other Interests				FAIR POLITICAL PRACTICES COMMISSION	
(Ownership Interest is Less Than 10%)				NAME:	
Do not attach brokerage or financial statements.				Pat Clark	
NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/20XX) ACQUIRED DISPOSED	
COMCAST	UTILITIES	\$2,000 - \$10,000	Stock		
AT&T	TELECOMMUNICATIONS	\$2,000 - \$10,000	Stock		
APPLE	COMPUTER SYSTEMS	\$2,000 - \$10,000	Stock		
JOHNSON & JOHNSON	PHARMACEUTICAL	\$2,000 - \$10,000	Stock		
WAL-MART	RETAIL	\$2,000 - \$10,000	Stock		
COCA-COLA	BEVERAGE	\$2,000 - \$10,000	Stock		
MACY'S	RETAIL	\$2,000 - \$10,000	Stock		
UNDER ARMOUR	CLOTHING	\$2,000 - \$10,000	Stock		
FITBIT INC	HEALTH/TECHNOLOGY	\$2,000 - \$10,000	Stock		
BANK OF AMERICA	FINANCE	\$2,000 - \$10,000	Stock		
ACTIVISION BLIZZARD	VIDEO GAME	\$2,000 - \$10,000	Stock		
INTEL CORP	SOFTWARE	\$2,000 - \$10,000	Stock		
FORD MOTOR CO	VEHICLE MANUFACTURING	\$2,000 - \$10,000	Stock		
NEWS CORP	NEWS	\$2,000 - \$10,000	Stock		
ELECTRONIC ARTS INC	VIDEO GAME	\$2,000 - \$10,000	Stock		
NORDSTROM INC	RETAIL	\$2,000 - \$10,000	Stock		
MICRON TECHNOLOGY INC	ROBOTICS/TECHNOLOGY	\$2,000 - \$10,000	Stock		

Amending Schedule A-1

- File amendments as soon as error or omission is discovered.
- Complete only the schedule with error(s).
- Amended schedule is retained with entire, original statement.

SCHEDULE A-1 Investments Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements.		CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION AMENDMENT
▶ NAME OF BUSINESS ENTITY _____ GENERAL DESCRIPTION OF THIS BUSINESS _____ FAIR MARKET VALUE ☐ \$2,000 - \$10,000 ☐ \$100,001 - \$1,000,000 ☐ \$10,001 - \$100,000 ☐ Over \$1,000,000 NATURE OF INVESTMENT ☐ Stock ☐ Partnership ☐ Other _____ (Describe) ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: ____/____/16 ACQUIRED ____/____/16 DISPOSED	▶ NAME OF BUSINESS ENTITY _____ GENERAL DESCRIPTION OF THIS BUSINESS _____ FAIR MARKET VALUE ☐ \$2,000 - \$10,000 ☐ \$100,001 - \$1,000,000 ☐ \$10,001 - \$100,000 ☐ Over \$1,000,000 NATURE OF INVESTMENT ☐ Stock ☐ Partnership ☐ Other _____ (Describe) ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: ____/____/16 ACQUIRED ____/____/16 DISPOSED	
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Comments: _____		

Questions

- Call 916-322-5660 or 866-275-3772 (866-ASK-FPPC)
 - Monday - Thursday, 9–11:30 a.m.
- E-mail advice@fppc.ca.gov

E-Filing Problems

- Your agency's system: Contact your filing officer
- FPPC's system: E-mail form700@fppc.ca.gov